

CHAPTER 206
COMMUNITY SUPERVISED APARTMENT LIVING ARRANGEMENTS
SERVICES PROGRAM

[Prior to 2/11/87, Human Services[498]]

PREAMBLE

The intent of this chapter is to establish requirements for the purchase of community supervised apartment living arrangements for adults by the department of human services. Community supervised apartment living arrangements is a program of services for adults with mental illness, mental retardation or developmental disabilities who are capable of living semi-independently. Services are provided to enable the adults to live in the community with minimal supervision. Community supervised apartment living arrangements are approved by the department according to rules found in 441—Chapter 36.

441—206.1(234) Definitions.

“*Adult*” means a person 18 years of age or older or a minor who has attained majority by marriage.

“*Approved provider*” means an agency that has been approved to provide community supervised apartment living arrangements according to 441—Chapter 36.

“*Community supervised apartment living arrangements*” means the provision of or assistance to secure a residence, and supervision of one or more persons who have mental illness, mental retardation, or a developmental disability and who are capable of living semi-independently in a community setting.

“*Community supervised apartment living arrangements services program*” means a program of service as defined in rule 441—36.3(225C).

“*Department*” means the Iowa department of human services.

“*Project manager*” means a department employee who is designated as responsible for the development, monitoring, and evaluation of service arrangements with agencies that provide a community supervised apartment living arrangement program of services.

441—206.2(234) Client eligibility.

206.2(1) Financial. Financial eligibility shall be determined according to rule 441—130.3(234).

206.2(2) Need for service. The need for community supervised apartment living arrangements program of services shall be established in accordance with 441—Chapter 130. The person shall also meet the following conditions:

a. The person shall require minimal supervision but not the level of care and supervision provided in licensed residential care facilities as supported by Form SS-1719, Physician’s Report.

b. The person shall be diagnosed as mentally ill, mentally retarded, or developmentally disabled as defined in rule 441—36.1(225C).

c. The person shall be an adult as defined in rule 441—206.1(234).

441—206.3(234) Goals. Appropriate goals for persons living in community supervised living arrangements are those described in 441—subrule 130.7(1), paragraphs “*a*,” “*b*,” and “*d*.”

441—206.4(234) Elements of service provision.

206.4(1) *Provider standards.* Services under this chapter shall be purchased by the department only from a provider who has been approved pursuant to 441—subrule 36.10(1) or 36.10(2). The provider shall submit a copy of the department's approval to the project manager.

206.4(2) *Required services.* The provider shall ensure that certain services outlined in 441—subrule 36.3(1) and defined in rule 441—36.1(225C) are available to the client as needed: Service coordination services, diagnostic and evaluation services, community living skills training, self-care training, support, and transportation services. In addition, the provider shall ensure that the client receives necessary supervision as required in 441—subrule 36.2(2).

The provider may deliver the services directly or subcontract for the services from another provider. If some services are delivered by subcontracting, the provider shall include the costs for these services in its unit rate. No payment shall be allowed for the other services outlined in 441—subrule 36.3(1).

206.4(3) *Method of payment.* The provider may request a reimbursement rate be established on a per diem or a per hour basis. Rates will be developed in accordance with the requirements and procedures in 441—Chapter 150 for purchase of service providers.

206.4(4) *Department responsibilities.* Social casework and case plan development shall adhere to the provisions of rules 441—130.6(234) and 441—130.7(234). A copy of the case plan shall be submitted to the provider at the time of admission.

206.4(5) *Service provider responsibilities.* The provider shall adhere to the following guidelines:

a. The provider shall submit a written report to the department and the individual case management service provider if different than the department summarizing the results of the diagnostic and evaluation services as required in 441—subrule 36.6(3) within 30 days following the client's admission to the program and no less than annually thereafter. However, the report need not include any diagnostic or evaluation information supplied by the department or the individual case management service provider.

b. The provider shall submit a copy of the social history as required by 441—subrule 36.6(5) to the department and the individual case management service provider if different than the department within 30 days of the client's admission to the program. However, the report need not include any information supplied by the department or the individual case management service provider.

c. The provider shall submit a copy of the individual program plan as required in 441—subrule 36.6(6) to the department and the individual case management service provider if different than the department within 30 days following the client's admission to the program and no less than annually thereafter.

d. Based on ongoing service coordination responsibilities as defined in rule 441—36.1(225C) and 441—subrule 36.6(4), the provider shall at any time during the provision of service, communicate to the department and the individual case management service provider, if different than the department, any needs identified in the department case plan or the individual program plan which are not adequately addressed.

441—206.5(234) *Adverse service actions.* Services may be denied, terminated, or reduced according to the provisions of rule 441—130.5(234).

441—206.6(234) Appeals. Notice of adverse actions and the right of appeal shall be given clients in accordance with 441—Chapter 7.

441—206.7(234) Compliance transition period. All purchase of service contracts for community supervised living arrangements services shall comply with subrule 206.4(1) no later than six months from the effective date. During this six-month transition period, a purchase of service contract may be entered into prior to the department's approval of the provider's program pursuant to 441—subrule 36.10(1) or 36.10(2) if copies of the following are submitted to and approved by the project manager:

206.7(1) A copy of the provider's "Application for Approval of a Community Supervised Living Arrangements Program," Form 470-2070.

206.7(2) The provider's operating plan.

206.7(3) A completed Self-Survey Form, Form 470-2068.

206.7(4) A corrective action plan which gives time frames for implementation for each standard that the provider indicates on the self-survey form is out of compliance.

These rules are intended to implement Iowa Code section 234.6 and 1985 Iowa Acts, chapter 259, section 1.

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